

**Application Form****Notice of Public Record**

Please be advised that all information contained in this application is part of the City of Reno's public record and is available for public review. These positions are limited, in most cases, to residents of the City of Reno. The City Council makes a conscientious effort to appoint persons who represent all of the various communities within the City of Reno. Please be advised that certain boards and commissions require filing of financial statements with the Secretary of State or have special requirements. Contact the City Clerk's office at 334-2030 with any questions.

**Profile**

Neil

First Name

E

Middle Initial

Saiz

Last Name

neil.saiz@edwardjones.com

Email Address

3410 University Green Dr

Home Address

Suite or Apt

Reno

City

NV

State

89512

Postal Code

**What ward do you live in? \*** Ward 4

Business: (775) 331-3335

Primary Phone

21

How long have you been a resident of the City of Reno?

**Are you over 18 years of age?** Yes  No**Are you currently registered to vote in the City of Reno? \*** Yes

Edward Jones Investments

Employer

Financial Advisor/Limited Partner

Job Title

**Which Boards would you like to apply for?**

Financial Advisory Board: Submitted

---

**Have you ever been convicted of a felony or misdemeanor other than minor traffic violations?**

Yes  No

**If yes, please list conviction dates and nature:**

---

---

## **Interests & Experiences**

**Education or training relevant to the board or commission to which you are applying:**

---

**Explain briefly why you would like to be appointed to this board or commission.**

---

To volunteer my time to contribute my expertise and help make the City of Reno as financially efficient as possible.

[Brochure.pdf](#)

Upload a Resume

---

## **Demographics**

**This section is optional and your responses will not be utilized for appointment.** The following information helps track our recruitment and diversity efforts.

### **Ethnicity**

---

Hispanic

### **Gender**

---

Male

09/04/1985

Date of Birth

---

## **Open Meeting Law Waiver**

**WAIVER OF NOTICE REQUIRED UNDER NRS 241.033(1) TO ALLOW CITY COUNCIL TO CONSIDER CHARACTER, MISCONDUCT, OR COMPETENCE OF PERSON TO BE APPOINTED TO A BOARD, COMMISSION, OR OTHER PUBLIC BODY FOR THE CITY OF RENO**

---

The City Council for the City of Reno will be considering on a future posted agenda your appointment to a board, commission or other public body for the City of Reno. Pursuant to NRS 241.033(1), in order to consider the professional competence of an applicant, notice need be provided to that person of the time and place of the meeting in compliance with such statutory provisions. By agreeing below, it is confirmed that I have been provided notice of the meeting at which my appointment will be considered by City Council. Further, I knowingly and voluntarily am waiving my rights to all written notice requirements under NRS 241.033(1) pertaining to my qualifications, competence, and character to hold this appointment and consent to the evaluation of my character and competence by the Reno City Council in a public meeting. Further, the I acknowledge that I may at any time withdraw both this waiver and related application for appointment.

---

I Agree

---

**Acknowledgement**

Please Agree with the Following Statement

---

I certify that, to the best of my knowledge, the information I provided in the application is true. If the information provided is false or incomplete, it shall be sufficient cause for disqualification or removal. If appointed, I agree to attend a board or commission orientation session, if applicable, within six months of my appointment. I understand that failure to comply with this requirement will results in automatic removal from the board or commission.

---

I Agree



Neil E Saiz  
2484 WINGFIELD HILLS RD  
STE 100  
SPARKS, NV 89436-7205  
775-331-3335  
neil.saiz@edwardjones.com

This brochure supplement provides information about your financial advisor that supplements the Edward Jones brochure, which contains important information regarding Edward Jones as an investment adviser. You should have received a copy of the brochure. Please contact Edward Jones Client Relations at 800-803-3333 if you did not receive the Edward Jones brochure or if you have any questions about the content of this supplement.

---

### Business Experience / Education Background

This section describes the individual's business experience for the past five years and education.

Edward Jones

Financial Advisor

All Edward Jones financial advisors complete a comprehensive and ongoing training program that includes all required licensing examinations, investment education, and instruction in identifying appropriate solutions for specific client needs.

High School Graduate  
Univ of Nevada,Reno

Bachelor Degree

Financial Advisor's Year of Birth  
1985

AAMS® (Accredited Asset Management Specialist(SM)) – A professional designation granted by the College for Financial Planning. The designation may be awarded to any financial professional who completes a self-study program supplied by the College for Financial Planning, and subsequently passes the accompanying test that covers the asset management process, including: investor policies, risk and return, investment performance, asset allocation and selection, investment strategies, taxation of investment products, individual retirement investments, executive compensation and benefit plans, insurance products, estate planning, and regulatory and ethical issues. After passing the test, the individual must also agree to comply with the code of ethics.

Your financial advisor holds the following securities registration(s):  
General Securities Representative (Series 7)  
Uniform Combined State Law Examination (Series 66)

Your financial advisor also holds an insurance license.

---

### Disciplinary Information

This section provides information about certain legal or disciplinary actions available through FINRA BrokerCheck.

None

---

### Other Business Activities

This individual engages in the following investment-related business activities.

Registered Representative of Edward Jones, broker-dealer.

In addition to advisory services, financial advisors perform other services through Edward Jones as a broker-dealer and its affiliates, such as brokerage, investment banking, trust and insurance services. Financial advisors earn transactional and other compensation for these services. The compensation received by the financial advisor for these other services may be more or less than the compensation the financial advisor would earn for advisory activities. As a result, the financial advisor may have a financial incentive to recommend advisory services over other investments and/ or services available through Edward Jones.

---

**Additional Compensation**

This section describes arrangements in which the financial advisor receives an economic benefit for providing advisory services from someone other than a client. In addition to fees earned from advisory accounts, your financial advisor may receive other financial benefits for providing advisory services. These other benefits include participation in the Edward Jones Travel Awards Program, remuneration for accomplishments such as bringing new assets into the firm, bonuses based on the profitability of the firm and the individual branch office, profit-sharing distributions, award and recognition programs offered by Edward Jones, and other noncash benefits, such as training and education, from investment and insurance product partners. Financial advisors who transfer to Edward Jones from other investment firms may be eligible for additional bonuses based on achieving certain levels of performance.

---

**Supervision**

This section describes how Edward Jones monitors the advice provided by the individual.

Field Supervision, a department of the Edward Jones Compliance Division, is responsible for supervising the advisory activities of financial advisors. When an advisory account is opened or when a client changes the portfolio objective, Field Supervision monitors whether it is appropriate for the client by reviewing client information which may include the client's net worth, asset allocation based on age and life stage and anticipated income needs.

For retirement plans participating in Edward Jones Retirement Plan Services, Field Supervision monitors plan information such as compensation and investment menu selection at account opening and on a periodic basis thereafter.

Clients can contact Casey Whalley, Principal, at 866-836-2725 with questions or comments about the advisory activities of your financial advisor.

---